

FOR EXTERNAL NON-WCMC USE ONLY Complete this form if you have financial interests to disclose

Weill Cornell Medical College – Office of Research and Sponsored Programs
Study Specific Report Form

| I. Name: | | | |
|-----------------------------------------|-----|------------------------------------------|-----------------------|
| Institution: | | | |
| Address: | | | - |
| Email: | | | |
| Phone number: | | | - |
| Conflicts Management Official: | | | |
| 2. Project Title: | | | |
| Grant Number: | | | |
| 3. Project Principal Investigator: | | | |
| If you have the information, please ans | • | | ntity Report below |
| Protocol Title: | | | |
| Protocol Number: | | | |
| Protocol Type: IRB IACUC | | | |
| 5. Project Sponsor: | | | |
| Is there more than one sponsor? | Yes | No If yes, please attach a separate for | orm for each sponsor. |
| 6. Drug Sponsor: | | | |
| Is there more than one sponsor? | Yes | No If yes, please attach a separate f | orm for each sponsor |
| 7. Device Sponsor: | | | |
| Is there more than one sponsor? | Yes | No. If yes, please attach a separate for | orm for each sponsor |



Study Related Entity Report

Please Add Entity That Pertains to this Study

| Entity Name: |
|---------------------------------------------------------------------------------------------------------------------------------------|
| Address1: |
| Address2: City: |
| State/Province: |
| Country: |
| Zip: |
| |
| Intellectual Property: Type: |
| For-Profit Non-Profit Private Non-Profit Federal Government Other |
| Corporate Status: Publicly Traded Privately Held |
| Person who has relationship to this entity: Self Spouse/children/significant other/parent/sibling or other relative |
| 1. Do you receive honoraria or other payment for books, publication or lectures from this entity for this reporting year? |
| Yes No |
| If yes, how much were you compensated by this Entity? |
| Please indicate which activities (example: commissioned papers, speakers bureau, etc.): |
| 2. Do you receive consulting fees from this entity for this reporting year? |
| Yes No |
| If yes, how much were you compensated by this Entity? |
| Please describe the nature of your consulting work: |
| 3. Do you have equity/ownership interest; (e.g., stock or option holding, partnership share) in this entity? |
| Yes No |
| If yes, percentage of company ownership represented by your holding: Value of equity/ownership interest: Specify stock, options etc.: |
| 4. Is equity held by Cornell Center for Technology Enterprise and Commercialization (CCTEC)? |
| Yes No |
| Explanation: |
| 5. Do you or will you receive personal income from this entity through royalties for this or a subsequent reporting year? |



Yes No

| Do you or will you | receive personal incom- | e from this entity | through licensing | fees from patents | or other |
|----------------------|----------------------------|--------------------|-------------------|-------------------|----------|
| intellectual propert | ty interests for this or a | subsequent repo | rting year? | | |

| | Yes | No |
|------|-----------|--------------------------------------------------------------------------------------------------|
| lf : | yes, yea | rly income (estimated or received whichever is greater): |
| 6. | Do you | have an executive position with this entity? |
| | Yes | No |
| lf : | yes, typ | e of position: |
| lf | Other, p | lease specify: |
| ΡI | ease de | scribe your role(s) in the position(s): |
| 7. | Do you | serve as a member of an advisory board for this entity? |
| | Yes | No |
| ΡI | ease de | scribe your role(s) or position(s): |
| lf : | yes, yea | rly income (estimated or received whichever greater): |
| 8. | Do you | serve on the corporate board of directors for this entity? |
| | Yes | No |
| ΡI | ease de | scribe your role(s) or position(s): |
| lf : | yes, yea | rly income: (estimated or received whichever greater): |
| 9. | Do you | use WCMC facilities, equipment, or staff in the conduct of your activities for/with this entity? |
| | Yes | No |
| 10 |). Does t | this entity provide any money to support any of the research in which you may be involved? |
| | Yes | No |
| ΡI | ease de | scribe your role(s) in the research: |
| lf : | yes, wha | at is your relationship to the research? |
| 11 | . Does a | any of your research sponsored by this entity involve human subjects? |
| | Yes | No |
| ΡI | ease pro | ovide specific information about your involvement with human subjects: |
| | | |

12. Could the results of any of your research reasonably be viewed as affecting the financial or other interests of this entity?



| Yes | No |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| If yes, Des | cribe: |
| (equity or | he results of your research reasonably be viewed as affecting the value of financial interests equity equivalents, payments of any type, patents, etc.) of you or a member of your immediate buse, significant other, parent, sibling, children, or other relative in household)? |
| Yes | No |
| If yes, Des | cribe: |
| 14. Do stud | dents/trainees work on the research? |
| Yes | No |
| Please pro | vide specific information: |
| | supervise or assign the research work or clinical care activities of WCMC students/trainees in ces where the research work or clinical care is supported in whole or in part by this entity? |
| Yes | No |
| Please pro | vide specific information: |
| 16. Do you | have any other relationship with or financial interest in this entity? |
| Yes | No |
| If yes, Des | cribe: |
| 17. Do you | receive any gifts, payments, favors or anything of monetary value from this entity? |
| Yes | No |
| If yes, Des | cribe: |
| (e.g., comr | serve on an internal or external body with jurisdiction to award or distribute government funds nittees of NIH, FDA, or other governmental agencies, private professional or regulatory body) icipation would reasonably appear to be influenced by the business interest or consulting p? |
| Yes | No |
| directly or | i involved in purchasing or procurement decisions regarding this entity on behalf of WCMC, eithe indirectly by providing advice or consultation, regarding the purchase, use or selection of goods or service that are provided or may be provided to WCMC? |
| Yes | No |
| If yes, Des | cribe: |
| | ial Conflict of Commitment: me you spend on professional activities with this entity exceed one day per week? |
| Yes | No |
| If ves. Des | cribe: |



Date: _____

| Has your chair approved this arrangement? |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Yes No |
| 21. Please provide an explanation of how your financial interest or intellectual property interest may relate to the project: |
| 22. Does this research project involve human subjects? |
| Yes No |
| Please provide specific information about your role(s) related to the human subjects in this research: |
| 23. Could your financial interest in the entity directly and significantly affect the design, conduct, or reporting of this research? |
| Yes No |
| Please provide a rationale for your answer: |
| 24. Could the results of any of this research reasonably be viewed as affecting the financial or other interests of this entity? |
| Yes No |
| Please provide a rationale for your answer: |
| 25. Could the results of this research reasonably be viewed as affecting the value of financial interests (equity or equity equivalents, payments of any type, patents, etc.) of you or a member of your immediate family (spouse, significant other, parent, sibling, children, or other relative in household)? |
| Yes No |
| Please provide a rationale for your answer: |
| Study Specific Report of External Interests and External Time Commitments Survey I have completed this report fully and to the best of my ability. I understand that failure to disclose compensation from a commercial entity is not permitted by institutional policy. In addition, I have read the policies regarding conflict of interest and understand those policies as written. I agree to abide by those policies and disclose any relationships that I, or any member of my immediate family, including spouse, significant other, or children have with commercial entities as indicated in this report for review by the Conflicts Advisory Panel. |
| I agree with the above statement:* Yes No |
| Name: |
| Signature: |

